

#### General Assembly

### Raised Bill No. 466

February Session, 2004

L	CO	No.	1912

\*\_\_\_\_\_SB00466PH\_\_\_031704\_\_\_\_\*

Referred to Committee on Public Health

Introduced by: (PH)

# AN ACT CONCERNING REVISIONS TO THE DEPARTMENT OF MENTAL RETARDATION STATUTES.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Section 17a-248 of the general statutes is repealed and the
- 2 following is substituted in lieu thereof (*Effective from passage*):
- As used in this section and sections 17a-248b to 17a-248g, inclusive,
- 4 as amended, 38a-490a, as amended, and 38a-516a, as amended, unless
- 5 the context otherwise requires:
- 6 (1) "Commissioner" means the Commissioner of Mental Retardation.
- 7 (2) "Council" means the State Interagency Birth-to-Three
- 8 Coordinating Council established pursuant to section 17a-248b.
- 9 (3) "Early intervention services" means early intervention services,
- as defined in 34 CFR Part 303.12, as from time to time amended.
- 11 (4) "Eligible children" means children from birth to thirty-six months
- of age, who are not eligible for special education and related services
- pursuant to sections 10-76a to 10-76h, inclusive, as amended, and who

- 14 need early intervention services because such children are:
- 15 (A) Experiencing a significant developmental delay as measured by
- 16 standardized diagnostic instruments and procedures, including
- 17 informed clinical opinion, in one or more of the following areas: (i)
- 18 Cognitive development; (ii) physical development, including vision or
- 19 hearing; (iii) communication development; (iv) social or emotional
- 20 development; or (v) adaptive skills; or
- 21 (B) Diagnosed as having a physical or mental condition that has a
- 22 high probability of resulting in developmental delay.
- 23 (5) "Evaluation" means a multidisciplinary professional, objective
- 24 assessment conducted by appropriately qualified personnel in order to
- 25 determine a child's eligibility for early intervention services.
- 26 (6) "Individualized family service plan" means a written plan for
- 27 providing early intervention services to an eligible child and the child's
- 28 family.
- 29 (7) "Lead agency" means the Department of Mental Retardation, the
- 30 public agency responsible for the administration of the birth-to-three
- 31 system in collaboration with the participating agencies.
- 32 (8) "Parent" means the child's parent or a person in a parental
- relationship to the child. With respect to a child who has no parent or
- 34 person in a parental relationship, "parent" means the person
- 35 designated to serve in a parental relationship for the purposes of this
- section and sections 17a-248b to 17a-248g, inclusive, as amended, 38a-
- 37 490a, as amended, and 38a-516a, as amended, pursuant to regulations
- of the Department of Mental Retardation, adopted in accordance with
- 39 chapter 54 in consultation with the Department of Children and
- 40 Families, for children in foster care.
- 41 (9) "Participating agencies" includes, but is not limited to, the
- 42 Departments of Education, Social Services, Public Health, Children
- 43 and Families and Mental Retardation, the Insurance Department, the

- 44 Board of Education and Services for the Blind, the Commission on the
- 45 Deaf and Hearing Impaired and the Office of Protection and Advocacy
- 46 for Persons with Disabilities.
- 47 (10) "Qualified personnel" means persons who meet the standards 48 specified in 34 CFR Part 303.12(e), as from time to time amended, and 49 who are licensed physicians or psychologists or persons holding a 50 state-approved or recognized license, certificate or registration in one 51 or more of the following fields: (A) Special education, including 52 teaching of the blind and the deaf; (B) speech and language pathology 53 and audiology; (C) occupational therapy; (D) physical therapy; (E) 54 social work; (F) nursing; (G) dietary or nutritional counseling; and (H) 55 other fields designated by the commissioner that meet requirements 56 that apply to the area in which the person is providing early 57 intervention services, provided there is no conflict with existing 58 professional licensing, certification and registration requirements.
- 59 (11) "Region" means a region within the Department of Mental 60 Retardation.
- 61 (12) "Service coordinator" means a person carrying out service 62 coordination, as defined in 34 CFR Part 303.22, as from time to time 63 amended.
- 64 (13) "Primary care provider" means physicians and advanced 65 practice registered nurses, licensed by the Department of Public 66 Health, who are responsible for performing or directly supervising the 67 primary care services for children enrolled in the birth-to-three 68 program.
- Sec. 2. Section 17a-248g of the general statutes, as amended by section 9 of public act 03-3 of the June 30 special session, is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 72 (a) Subject to the provisions of this section, funds appropriated to 73 the lead agency for purposes of section 17a-248, <u>as amended by this</u>

act, sections 17a-248b to 17a-248f, inclusive, this section and sections 38a-490a, as amended, and 38a-516a, as amended, shall not be used to satisfy a financial commitment for services that would have been paid from another public or private source but for the enactment of said sections, except for federal funds available pursuant to Part H of the Individuals with Disabilities Education Act, 20 USC 1471 et seq., except that whenever considered necessary to prevent the delay in the receipt of appropriate early intervention services by the eligible child or family in a timely fashion, funds provided under said sections may be used to pay the service provider pending reimbursement from the public or private source that has ultimate responsibility for the payment.

- (b) Nothing in section 17a-248, as amended by this act, sections 17a-248b to 17a-248f, inclusive, this section and sections 38a-490a, as amended, and 38a-516a, as amended, shall be construed to permit the Department of Social Services or any other state agency to reduce medical assistance pursuant to this chapter or other assistance or services available to eligible children. Notwithstanding any provision of the general statutes, costs incurred for early intervention services that otherwise qualify as medical assistance that are furnished to an eligible child who is also eligible for benefits pursuant to this chapter shall be considered medical assistance for purposes of payments to providers and state reimbursement to the extent that federal financial participation is available for such services.
- (c) Providers of early intervention services shall, in the first instance and where applicable, seek payment from all third-party payers prior to claiming payment from the birth-to-three system for services rendered to eligible children, provided, for the purpose of seeking payment from the Medicaid program or from other third-party payers as agreed upon by the provider, the obligation to seek payment shall not apply to a payment from a third-party payer who is not prohibited from applying such payment, and who will apply such payment, to an annual or lifetime limit specified in the third-party payer's policy or

107 contract.

- (d) The commissioner, in consultation with the Office of Policy and Management and the Insurance Commissioner, shall adopt regulations, pursuant to chapter 54, providing public reimbursement for deductibles and copayments imposed under an insurance policy or health benefit plan to the extent that such deductibles and copayments are applicable to early intervention services.
- 114 (e) The commissioner shall establish a schedule of fees based on a 115 sliding scale for early intervention services. The schedule of fees shall 116 consider the cost of such services relative to the financial resources of 117 the parents or legal guardians of eligible children. Fees may be charged 118 to any such parent or guardian, regardless of income, and shall be 119 charged to any such parent or guardian with a gross annual family 120 income of forty-five thousand dollars or more, except that no fee may 121 be charged to the parent or guardian of a child who is eligible for 122 Medicaid. The Department of Mental Retardation may assign its right 123 to collect fees to a designee or provider participating in the early 124 intervention program and providing services to a recipient in order to 125 assist the provider in obtaining payment for such services. The 126 commissioner may implement procedures for the collection of the 127 schedule of fees while in the process of adopting or amending such 128 criteria in regulation, provided the commissioner prints notice of 129 intention to adopt or amend the regulations in the Connecticut Law 130 Journal within twenty days of implementing the policy. Such collection 131 procedures and schedule of fees shall be valid until the time the final 132 regulations or amendments are effective.
  - (f) The commissioner shall develop and implement procedures to hold a recipient harmless for the impact of pursuit of payment for early intervention services against lifetime insurance limits.
- 136 (g) Notwithstanding any provision of title 38a relating to the 137 permissible exclusion of payments for services under governmental 138 programs, no such exclusion shall apply with respect to payments

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- 139 made pursuant to section 17a-248, as amended by this act, sections 17a-140 248b to 17a-248f, inclusive, this section and sections 38a-490a, as 141 amended, and 38a-516a, as amended. Except as provided in this 142 subsection, nothing in this section shall increase or enhance coverages 143 provided for within an insurance contract subject to the provisions of 144 section 10-94f, subsection (a) of section 10-94g, subsection (a) of section 145 17a-219b, subsection (a) of section 17a-219c, sections 17a-248, as 146 amended by this act, 17a-248b to 17a-248f, inclusive, this section, and 147 sections 38a-490a, as amended, and 38a-516a, as amended.
- 148 (h) Notwithstanding any provision of the general statutes or the 149 regulations of Connecticut state agencies, the signature on an individualized family service plan of an advanced practice registered 150 nurse, working within said nurse's scope of practice in collaboration 152 with a physician licensed to practice medicine in this state, in 153 accordance with section 20-87a, and performing or directly supervising 154 the primary care services for children enrolled in the birth-to-three 155 program, shall be deemed sufficient to order all such services included 156 in the individualized family service plan and shall be deemed sufficient by the Department of Social Services to substantiate a claim 157 for federal financial participation. 158
- 159 Sec. 3. Section 17a-211 of the general statutes is repealed and the 160 following is substituted in lieu thereof (*Effective from passage*):
  - (a) In 1991, and every [two] five years thereafter, the Department of Mental Retardation shall develop and review a five-year plan in accordance with this section. The plan shall: (1) Set priorities; (2) identify goals and objectives and the strategies to be employed to achieve them; (3) define the criteria to be used in evaluating whether the department is making progress toward the achievement of such goals and objectives; (4) identify changes in priorities, goals, objectives and strategies from the prior plan; (5) describe and document progress made in achieving the goals and objectives outlined in the prior plan; and (6) estimate the type and quantity of staff and client services that

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- 171 will be needed over the life of the plan.
- (b) Every [two] <u>five</u> years, the department shall hold public hearings on a complete draft of the plan and, in January, 1992, and every [two]
- five years thereafter, the department shall submit the final plan and a
- transcript of the public hearings to the joint standing committees of the
- 176 General Assembly having cognizance of matters relating to public
- 177 health and appropriations and the budgets of state agencies.
- 178 Sec. 4. Section 17a-240 of the general statutes is repealed and the
- 179 following is substituted in lieu thereof (*Effective October 1, 2004*):
- 180 [(a)] The Commissioner of Mental Retardation shall, within
- 181 available appropriations, operate a school district within the
- 182 Department of Mental Retardation, to be known as State of
- 183 Connecticut-Unified School District #3. The school district shall
- 184 provide educational services to persons eligible to receive services
- 185 from State of Connecticut-Unified School District #3. The school
- 186 district shall operate on a twelve-month calendar to provide
- uninterrupted educational programming. [There shall be an education
- 188 council for the school district within the Department of Mental
- 189 Retardation which shall be composed of seven members to be
- appointed by the Commissioner of Mental Retardation as follows: One
- member from each of the six regions within the Department of Mental
- 192 Retardation and one member from the Council on Mental Retardation.
- The term of each member shall be coterminous with the term of the
- 194 Governor. The members of the education council shall be persons with
- 195 a demonstrated interest in and concern for infants and toddlers with
- developmental delays, and shall not be employees of the Department
- of Mental Retardation or the Department of Education. The education
- 198 council shall annually elect a chairperson and a secretary from its
- 199 membership. The education council shall meet at least four times a
- year or at such other times as the chairperson deems necessary.]
- [(b) The education council for the school district within the Department of Mental Retardation shall (1) be responsible for planning

and maintaining such appropriate educational programs as the education council deems necessary or advisable in the interests of the persons benefiting from such programs, (2) make a continuing study of the educational needs of seriously retarded persons in the state and conduct such planning as is necessary to meet their needs, and (3) report annually to the Commissioner of Mental Retardation regarding the progress and accomplishments of the school district.]

- Sec. 5. Section 17a-241 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2004*):
- (a) The Commissioner of Mental Retardation shall, upon the recommendation of the education council of the school district, appoint a superintendent for said district. Said superintendent shall operate the school district in accordance with the rules and orders of the commissioner and with the policies and programs approved by the education council of said district. The superintendent shall, subject to the approval of the commissioner, [and upon consultation with the education council,] make rules for the administration of the school system, provided all such rules are in accordance with regulations established by the State Board of Education.
- (b) The superintendent of the school district under the general supervision of the Commissioner for Mental Retardation [, and in consultation with the education council of the school district,] shall have the power to (1) establish and maintain within the department a state-wide system of programs as required; (2) purchase, receive, hold and convey personal property for school purposes and equip and supply such schools with necessary furniture, equipment and other appendages; (3) make agreements and regulations for establishing and conducting the district's programs and employ and dismiss, in accordance with the applicable provisions of section 10-151, such teachers and other staff as are necessary to carry out the intent of sections 17a-239 to 17a-244, inclusive, and to pay their salaries; (4) receive any federal funds or aid made available to the state for such

- Sec. 6. Section 17a-242 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2004*):
- 246 The Commissioner of Mental Retardation, together with the 247 superintendent [and education council of the school district,] shall 248 annually evaluate the progress and accomplishments of the school 249 district. The Commissioner of Mental Retardation shall (1) submit 250 annual evaluation reports to the Commissioner of Education in order 251 to apprise the State Board of Education of the condition, progress and 252 needs of the school district, and (2) follow procedures adopted by the 253 Commissioner of Education in preparation of such annual evaluation 254 reports.
- Sec. 7. Subsection (e) of section 17a-248d of the general statutes, as amended by section 13 of public act 03-174, is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- (e) The state-wide system shall include a system for required notification to any local or regional school board of education no later than January first of each year of any child who resides in the local or regional school district, participates in the state-wide program and will attain the age of three during the next fiscal year. Such system of notification shall include provisions for preserving the confidentiality of such child and of the parent or guardian of such child.
- Sec. 8. Sections 45a-668, as amended, and 17a-283 of the general

## statutes are repealed. (Effective from passage)

This act sha	ll take effect as follows:
Section 1	from passage
Sec. 2	from passage
Sec. 3	from passage
Sec. 4	October 1, 2004
Sec. 5	October 1, 2004
Sec. 6	October 1, 2004
Sec. 7	from passage
Sec. 8	from passage

### PH Joint Favorable